

3150 Porter Drive
Palo Alto, California 94304-1212
Tel. (650) 849-4400 Fax (650) 849-4800
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#### ATTORNEYS AT LAW

## **Fax Cover Page**

### Please deliver to Art Unit 1648

OCT 26 2001

GROUP 1600

Date:

October 25, 2001

	Name	Fax	Phone
To:	Jeffrey Parkin, Examiner	(703) 305 3014	(703) 308 2227
	U.S. Patent & Trademark Office Art Unit 1648	_	

From:

Antoinette F. Konski

(650) 849-4800

(650) 849-4950

akonski@mdbe.com

Pages (including this cover page): 15

Subject: Request For Withdrawal of Improper Notice of Abandonment

Serial No., 08/573,569

Message:

#### For transmission problems, please call (650) 849-4825

#### Caution - Confidential

The information in this fax is confidential and may also be privileged attorney-client information and attorney work product. It is intended only for the recipient(s) named above. If you aren't a named recipient or his/her authorized representative, any reading, use, copying or disclosure of this fax is strictly prohibited. If you aren't a named recipient, please call the above number (collect) now and return this fax to us by mail at the above address. Thank you.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# TRANSMITTAL FORM

(to be used for all correspondence after filing)

Total number of Pages in this submission

15

Application Number	08/573,569
Filing Date	December 14, 1995
First Named Inventor	Hunein F. Maassab et al.
Group Art Unit	1648
Examiner Name	J. Parkin
Attorney Docket No.	UM 2002.01

Commissioner for Patents Washington, D.C. 20231

ENCLOSURES (check all that apply)						
☐ FEE TRANSMITTAL FORM	☐ ASSIGNMENT	PAPER\$	☐ AFTER ALLOWANCE			
☐ FEE ATTACHED	(FOR AN AP	LICATION)	COMMUNICATION TO GROUP			
□AMENDMENT/RESPONSE	☐ DRAWING(S)		☐ APPEAL COMMUNICATION TO E	OARD		
☐ AFTER FINAL	☐ LICENSING-R	ELATED	OF APPEALS AND INTERFERE	NCES		
☐ AFFIDAVITS' DECLARATION(S)	n(s) Papers		•			
	☐ PETITION RO	UTING SLIP				
☐ EXTENSION OF TIME	(PTQ/SB/60	)) and	☐ APPEAL COMMUNIATION TO GR	ROUP .		
	ACCOMPAN	YING	(APPEAL NOTICE, BRIEF, REPLY BR	IEF)		
	PETITION)			:		
☐ Express Abandonment Request	☐ TO CONVERT	A	☐ Proprietary Information	;		
	Provisional			;		
	APPLICATION	ON				
☐ INFORMATION DISCLOSURE	☐ POWER OF AT	TORNEY,	☐ STATUS LETTER	·		
Statement w/1449	REVOCATION CHA	ANGE OF				
	CORRESPONDENC	· · · -				
☐ CERTIFIED COPY OF PRIORITY	☐ TERMINAL DIS	CLAIMER	Additional Enclosure(s)			
DOCUMENT(S)			( PLEASE IDENTIFY BELOW):			
RESPONSE TO MISSING PARTS/	☐ SMALL ENTITY		REQUEST FOR WITHDRAWAL OF IN			
INCOMPLETE APPLICATION	☐ REQUEST FOR I	REFUND	OF ABANDONMENT; COPY OF NOTE	1		
☐ RESPONSE TO MISSING PARTS			ABANDONMENT FROM PTO DATED	•		
under 37 CFR 1.52 or 1.53			FACSIMILE CONFIRMATION TO PTO			
COPY OF PTO-1533	PY OF PTO-1533		AND RESPONSE TO NOTICE OF IMP			
		FOR CONTINUED EXAMINAT				
			FOR WITHDRAWAL OF NOTICE AND			
		PETITION FOR REVI				
			PATENT ABANDONED UNINTENTIO	4		
			CFR 1.137(b) AS FAXED 6/25/200	1. ;_		
☐ ISSUE FEE TRANSMITTAL	REMARKS:	$-\Delta$				
McCutchen, doyle, brown & eners		OCTOBER 25, 20	$\frac{001}{2}$			
THREE EMBARCADERO CENTER, SUITE 1800						
SAN FRANCISCO, CA 94111	BY:	[ KMNOWW	w Jarou	·		
TELEPHONE: (650) 849-4050	İ	Antoinett	E F. Konski (Reg. No. 34,202)	•		
FACSIMILE: (650) 849-4800						
CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"						
D.C. 20231 on This date: October 25, 2001	hereby certify that this correspondence is being facsimile transmitted to (703) 305-3014 attn: J. Parkin. Examiner: Commissioner for Patents, Washington, D.C. 20231 on Mis date: October 25, 2001.					
By: Yeldy William Name of person signing: Peggy Nichols						

Docket No. UM 2002.01

Certificate of Facsimile Transmission

I hereby certify that this correspondence is being facsimile transmitted to (703) 305-3014 Attn: Examiner J. Parkin, the

Commissioner for Patents, Washington, D.C. 20231, on October 25, 2001.

By: Peggy Nichols

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Hunein F. MAASSAB et al.

Serial No.: 08/573,569

Filing Date: December 14, 1995

For: COLD-ADAPTED INFLUENZA

**VIRUS** 

Examiner: J. Parkin

Group Art Unit: 1648

#### REQUEST FOR WITHDRAWAL OF IMPROPER NOTICE OF ABANDONMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This paper is submitted to respectfully request withdrawal of the Notice of Abandonment issued by the U.S. Patent and Trademark Office on October 10, 2001, in connection with the above-identified application. A copy of the October 10, 2001 Notice is attached.

The Notice indicates that Applicants failed to reply to the Office's letter mailed August 29, 2000, and that a proposed reply was received on February 13, 2001, but it did not constitute a proper reply under 37 C.F.R. § 1.113(a) to the Final Rejection.

Attached hereto is a copy of the reply filed by facsimile on June 25, 2001, including the facsimile confirmation report. In view of the attached, Applicants' undersigned attorney notes that the Notice of Abandonment was erroneously issued. Withdrawal of the Notice of Abandonment and continued examination of the subject application are respectfully requested.

If the Patent Office determines that an extension and/or other relief is required,
Applicants petition for any required relief including extensions of time and authorizes the
Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection
with the filing of this document to **Deposit Account No. 50-1189**, referencing billing no. 239807033. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee
to the Deposit Account.

Respectfully submitted,

Date:

E

Antoinette F. Konski Reg. No. 34,202

McCutchen, Doyle, Brown & Enersen, LLP Three Embarcadero Center, Suite 1800

San Francisco, CA 94111 Telephone: (650) 849-4950 Facsimile: (650) 849-4800

Page 2

Serial No. 08/573,569 Dkt. No.: UM 2002.01 UM Ref.: UM 257

## UNITED STATE PEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. 1

ARTIGNETTE F KOMSKI BAKER & MOKENZIE S60 HANSEN WAY PALO ALTO CA 94004-1018

MCCL

HM22/1010 PARKIN, J

ART UNIT PAPER NUMBER
1648 31

DATE MAILED:

10/10/01

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

HECLIVED MDB & E. LLP

OCT 2 2 2001

Anad.\_\_\_Ah

.UM 2002.01

## Notice of Abandonment

Application No. 08/573,569 Applicant(s)

Massab et al.

Examiner

Jeffrey S. Parkin, Ph.D.

Art Unit 1648

	— The MAILING DATE of this communication appears on the cover sheet with the correspondence address —	
This a	plication is abandoned in view of:	
1. 🛭	Applicant's failure to timely file a proper reply to the Office letter mailed on 29 Aug 2000.	
(a)	A reply was received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the period for reply (including a total extension of time of month(s)) which expired on	
(b)	A proposed reply was received on	;
	A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowence; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).	
(c)	No response has been received.	
2. 🗀	Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).	
(a)	The issue fee and publication fee, if applicable, was received on (with a Certificate of Mailing o Transmission dated), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance.	r
(b)	The submitted issue fee of \$ is insufficient. A balance of \$ is due.	
	The issue fee required by 37 CFR 1.18 is \$ The publication fee, if required by 37 CFR 1.18(d) is \$	
(c)	The issue fee and publication fee, if applicable, has not been received.	
3. 🗆	Applicant's failure to timely file new formal drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).	
(a)	Proposed new formal drawings were received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the period for reply.	
(b)	The proposed new formal drawings filed on are not acceptable and the period for reply has expired.	
(c)	No proposed new formal drawings have been received.	
4. 🗆	The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.	:
5. 🗌	The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.	:
<b>6.</b> □	The decision by the Board of Patent Appeals and Interferences rendered on and because the period for seeking court review of the decision has expired and there are no allowed claims.	:
7. 🗆	The reason(s) below:	٠
	ALIENT POWERINER	
	PRIMARY EXAMINER JEFFREY S. PARKIN, PH.E PATENT EXAMINER PATENT LINET 1848	Э.

#### FIRMATION REPORT - MEMORY SEND

Time : JUN-25-01 14:47

Fax number:

Name

dol

797

Date

JUN-25 14:41

Tο

12612#68128019#200201#17033084242#

Doc pages

10

Start time

JUN-25 14:41

End time

JUN-25 14:47

Pages sent

10

Job:797

\*\*\* SEND SUCCESSFUL \*\*\*

#### BAKER & MOKENZIE

ATTORNEYS AT LAW

OGO HANGEN WAY
PALO ALTO, DALIFORNIA D4304
TCLEPHONE (OBO) ABO-2400
PACSIMILE (OBO) AFR-63mb

ASAP

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#### IF YOU DO NOT RECEIVE PLEASE CALL (650 Aq<u>ot</u> 1630,836,033) PAGE(S) (INCLUDING COVER SHEET)

DATE: June 25, 2001

TIME: 02:19 PM SEND BY:

FAX#

PHONE #

TO:

Matthew Kennedy

US PTO

703-308-4242

703-305-5039

FROM: Michele Todd Wasmuth

RE:

Scrial No.: 0B/573,569

CLIENT NUMBER:

68128019-200201

MESSAGE

Dear Matthew:

I am sending you this facsimile on behalf of Antoinette F. Konski. You had a conversation with her last week regarding the Notice of Improper Request for Continued Examination received on the above-identified application. Attached you will find the following documents:

- 1) A Transmittal Form;
- 2) A Fee Transmittal Form;

20837641

OCT. 25, 2001 - 1:16PM

MCCHICHEN DOYLE PA/6508494800

P. 8/15 NO. 7241

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BANOKOK BEIJING HANGI HO CHI MINH CITY HONG KONG MANILA MEL BOURNE SINCAPORE SYDNEY TAPE

ATTORNEYS AT LAW

660 HANSEN WAY PALO ALTO, CALIFORNIA 94304 TELEPHONE (650) 856-2400 FACSIMILE (650) 656-9299

NORTH AND SOUTH AMERICA

BOGUTA BRASILIA MEXICO CITY MIAMI MONTERREY NEW YORK PALO AUTO **BUENOS AIRES** CARACAS DALLAG RIO DE JANEIRO SAN DIEGO JUAREZ

SAN FRANCISCO SANTIAGO SÃO PAULO TIJUANA TORONTO VALENCIA WASHINGTON, D.C

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DATE: June 25, 2001

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SEND BY:

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FAX#

PHONE #

TO:

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BUDAPEST

FRANKFURT

LAUSANNE

CENEVA

LONDON MADRID

CAIRO

KIEV

Matthew Kennedy

US PTO

703-308-4242

703-305-5039

FROM:

Michele Todd Wasmuth

RE:

Serial No.: 08/573,569

CLIENT NUMBER:

68128019-200201

MESSAGE

Dear Matthew:

I am sending you this facsimile on behalf of Antoinette F. Konski. You had a conversation with her last week. regarding the Notice of Improper Request for Continued Examination received on the above-identified application. Attached you will find the following documents:

- 1) A Transmittal Form;
- 2) A Fee Transmittal Form;

208396v1

sponse to Notice of Improper Request for Continued Examination and Request for Withdrawal of and Provisional Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37.

- 4) Preliminary Amendment; and
- 5) Copy of your Notice of Improper RCE issued June 1, 2001.

If you have any questions regarding the transmission, feel free to contact me.

Thanks so much for your assistance in this matter.

Respectfully,

Michele Todd Wasmuth

650-856-5533

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## IN THE UNITED STATES PATENT, AND TRADEMARK OFFICE

## **TRANSMITTAL FORM**

(to be used for all correspondence after filing)

Total number of Pages in this submission

Serial Number	08/573,569
Filing Date	December 14, 1995
First Named Inventor	Hunein F. Maassab
Group Art Unit	1648
Examiner Name	J. Parkin
Attorney Docket No.	128019200201

Commissioner for Patents Washington, D.C. 20231

■ FEE TRANSMITTAL FORM (IN DUPL.)				
☐ FEE ATTACHED		COMMUNICATION TO GROUP		
☑ AMENDMENT/RESPONSE	☐ DRAWING(S)	APPEAL COMMUNICATION TO BE	OARD	
☐ AFTER FINAL	☐ LICENSING-RELATED	OF APPEALS AND INTERFEREN	ICES	
☐ AFFIDAVITS' DECLARATION(S)	Papers	1		
•	☐ PETITION ROUTING SLIP		, 1	
☐ EXTENSION OF TIME	(PTO/SB/60) AND	☐ APPEAL COMMUNIATION TO GRO	OUP	
	ACCOMPANYING	(Appeal Notice, Brief, Reply Brie	EF)	
	PETITION)			
☐ EXPRESS ABANDONMENT REQUEST	TO CONVERT A	☐ PROPRIETARY INFORMATION	. !	
	Provisional			
	APPLICATION		. 1	
	☐ Power of Attorney,	□ Status Letter	!	
** ** ** **	REVOCATION CHANGE OF	1		
	CORRESPONDENCE ADDRESS			
= '	☐ TERMINAL DISCLAIMER	ADDITIONAL ENCLOSURE(S)		
DOCUMENT(s)		( PLEASE IDENTIFY BELOW):	DESCRIPTION TOD	
	SMALL ENTITY STATEMENT	RESPONSE TO NOTICE OF IMPROPER	-	
	☐ REQUEST FOR REFUND	CONTINUED EXAMINATION (RCE). FOR WITHDRAWAL OF NOTICE AND		
RESPONSE TO MISSING PARTS		PETITION FOR REVIVAL OF AN APPL		
UNDER 37 CFR 1.52 OR 1.53		PATENT ABANDONED UNINTENTION		
COPY OF PTO-1533		CFR 1.137(b); AND COPY OF NOTICE		
		REQUEST FOR CONTINUED EXAMIN		
		PTO on June 1, 2001.	711071100000	
BAKER & MCKENZIE	DATE: JUNE 25, 2001			
660 Hansen Way	1 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	$\gamma_{44}$		
PALO ALTO, CALIFORNIA 94304	BY:	BY: Wille we we		
Telephone: (650) 856-2400	MICHELE TODD	Wasmuth, Reg. No. 43,239	_	
FACSIMILE: (650) 856-9299				
Thereby certify that this correspondence is being transfunc 25, 2001  By:	. 20231 on this date:			

1. 11/10

PTO/SB/17 (09-00)

Proved for use through 10/31/2002. OMB 0851-0932

U.S. Palent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## FEE TRANSMITTAL FOR FY 2001

		First Named Inventor	Hunein F. Maassab	
•		Examiner Name	J. Parkin	
Patent fees are subject to annual revision.		Group Arl Unit	1649	
TOTAL AMOUNT OF PAYMENT	(\$)1240.00	Attorney Docket No.	128019200201	

METHOD OF PAYMENT			FE.	E CALCI	JLATION (continued)	
1.  The Commissioner is hereby authorized to charge indicate fees and credit any overpayments to:	3. ADI	DITIONAL I	FEESy		}	
Deposit Account Number Deposit	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Pald
Account Baker & McKenzie	105	130	205	65	Surcharge - late filing fee or oak	,
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge • lake provisional filing	fee
Applicant claims small entity status. See 37 CFR 1.27	139	130	139	130	Non-English specification	
2. Payment Enclosed:	147	2.520	147	2,520	For fixing a request for ex parte reexamination	
☐ Check ☐ Credit Card ☐ Money Order ☐ Othe	r 112	920*	112	920*	Requesting publication of SIR protocolors for the communication of the c	iat
FEE CALCULATION	113	1,640*	113	1,840*	Requesting publication of SIR at Examiner action	Rer
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first ma	onth
	118	390	218	195	Extension for reply within second month	;
Large Enlity 9mbil Emity Foa Poe Mes Fee Fae Description Cade (5) Cade (5) Fee	117	890	217	445	Extension for reply within third month	
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108 320 206 160 Design (i)ling (ee	119	310	219	155	Notice of Appreci	
107 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of an app	DE91
109 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing	
114 150 214 75 Provisional filing fee	139	1,510	138	1,510	Pedition to Inaliture a public use proceeding	70 <u></u> 0
AUGTOTAL (4)	140	110	240	55	Petition to revive - unavolgable	
SUBTOTAL (1) (5)0	141	1,240	241	820	Petition to revive - unintentions	1,240.00
2. EXTRA CLAIM FEES  Extra Fee from See Pale	142	1,240	242	<del>\$</del> 20	Utility issue fee (or reissue)	
Ciaims below Fee Pald	143	440	243	220	Design issue fee	
Total Claims " -20" = " x = \$	144	600	244	300	Plant issue fee	
independent -3** = * x = \$ Claims	122	130	122	130	Pellions of the Commissioner	
Multiple Dependent - \$	123	50	123	50	Petillons related to provisional applications	
	126	180	126	180	Submission of Information Disclosure Stmt	
Largo Entity Smaat Entity Foc Foa Foe Foe Fee Fee Description Code (\$) Code (\$)	581	40	591	40	Recording each patent assignment per properties (times number of properties)	eni
103 18 203 9 Claims in excess of 20	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.128(a))	
102 80 202 40 Independent claims in excess of 5	149	710	249	355	For each additional invention to texamined (37 CFR § 1.129(b))	De .
104 270 204 135 Multiple dependent claims, if not p	I	710	279	355	Request for Continued Examinat (RCE)	
108 80 209 40 "Release Independent cialms over original patent	,63	900	169	900	Request for expedited examination of a design application	on
110 18 210 9 "Release claims in excess of 20 as over original patent	d					_
SUBTOTAL (2) (\$)00	Other fee	(specify)				
or number previously paid, if greater; For relasues, see above.	*Reduce	d by Sastc Fi	ling Fee Pel	d	(E) JATOTBUS	(S)1.240.00

SUBMITTED BY Complete (if applicable)					pplicable)
Name (Print/Type)	Michele Todd Wasmuth	Registration No. (Attorney/Agent)	43,239	Telephone	(650) 856-5533
Signature	Millele la	ed will		Date	June 25, 2001

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